

Cobian, Moises

INJURY (RCW 51.08.100)

Injury v. occupational disease

OCCUPATIONAL DISEASE (RCW 51.08.140)

Occupational disease v. injury

SCOPE OF REVIEW

Occupational disease and industrial injury as alternative theories

When an allowed claim has not been clearly designated as an industrial injury or occupational disease, the parties and the industrial appeals judge must clearly address the question of whether the claim is for an industrial injury or occupational disease.*In re Moises Cobian*, BIIA Dec., 10 13290 (2011)

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**BEFORE THE BOARD OF INDUSTRIAL INSURANCE APPEALS
STATE OF WASHINGTON**

1 **IN RE: MOISES COBIAN**) **DOCKET NO. 10 13290**
2)
3 **CLAIM NO. SA-94346**) **DECISION AND ORDER**

4 **APPEARANCES:**

5 Claimant, Moises Cobian, by
6 Rodriguez & Associates, P.S., per
7 Norma Rodriguez

8 Self-Insured Employer, Tyson Foods, Inc., by
9 Law Offices of Randall Leeland, per
Randall Leeland and Brian Sanderson

10 The claimant, Moises Cobian, filed an appeal with the Board of Industrial Insurance Appeals
11 on April 2, 2010, from an order of the Department of Labor and Industries dated February 18, 2010.
12 In this order, the Department affirmed its order dated December 16, 2009. In that order, the
13 Department ended time-loss compensation benefits as paid through December 6, 2007, stated the
14 self-insured employer is not responsible for the conditions diagnosed as bilateral upper arm and
15 shoulder conditions and closed the claim. The Department order is **REVERSED AND**
16 **REMANDED.**

17 **PROCEDURAL AND EVIDENTIARY MATTERS**

18 The industrial appeals judge issued a Proposed Decision and Order on February 9, 2011, in
19 which he reversed the February 18, 2010 Department order. The industrial appeals judge
20 determined that claimant Moises Cobian's bilateral upper arm and shoulder conditions should be
21 allowed under the claim and determined that Mr. Cobian is not entitled to time-loss compensation
22 benefits for the period December 7, 2007, through December 16, 2009. The self-insured employer
23 Tyson Foods, Inc., and Mr. Cobian filed timely Petitions for Review of the Proposed Decision and
24 Order. This matter is therefore before the Board for review and decision as provided by
25 RCW 51.52.104 and RCW 51.52.106.

26 The Board has reviewed the evidentiary rulings in the record of proceedings. We find that
27 no prejudicial error was committed in these rulings. The rulings are affirmed.

28 **DECISION**

29 We agree with the key determinations made by the industrial appeals judge. We have
30 granted review to address deficiencies in the Findings of Fact and Conclusions of Law in the
31 Proposed Decision and Order, and to emphasize the importance of promptly clarifying whether
32

1 issues adjudicated in this appeal are within the context of an allowed occupational disease claim or
2 within the context of an industrial injury claim.

3 We agree with these key determinations in the Proposed Decision and Order: self-insured
4 employer Tyson Foods, Inc., should be responsible for Mr. Cobian's bilateral upper arm and
5 shoulder conditions under this claim; these conditions are in need of further proper and necessary
6 medical service; and, Mr. Cobian was not precluded from reasonably continuous gainful
7 employment due to conditions properly covered under this claim for the period December 7, 2007,
8 through December 16, 2009.

9 From the whole of the testimony, we are able to infer that Moises Cobian filed his claim due
10 to upper body pain in the cervical and thoracic spine and upper torso and arm and shoulder areas
11 of his body. We are able to infer also that Tyson and the Department of Labor and Industries
12 understood Mr. Cobian alleged that this upper body pain constitutes an occupational disease within
13 the meaning of RCW 51.08.140 in that his painful condition was caused by distinctive conditions of
14 his employment as a clod puller in Tyson's meat processing operations. This work involved
15 repetitive, relatively heavy pulling, pushing, and cutting on heavy, suspended sides of beef.

16 We are convinced by the testimony of orthopedic surgeon Thomas L. Gritzka, M.D., that
17 focused upon pathology in Mr. Cobian's shoulders. Dr. Gritzka testified that Mr. Cobian needs
18 further diagnostic service to determine whether he has seronegative spondyloarthropathy and that
19 he should have the opportunity to see if nonsteroidal anti-inflammatory medications or other
20 treatment would help improve his painful condition. We were not impressed with orthopedic
21 surgeon H. Graeme French, M.D.'s assumptions that Mr. Cobian is an immediate surgical
22 candidate without the diagnostic and treatment measures suggested by Dr. Gritzka. And we reject
23 orthopedic surgeon Dr. Herbert H. Gamber's narrow view of Mr. Cobian's claim as if the claim were
24 only for a cervical strain, which Dr. Gamber characterizes as resolved. Finally, we agree with our
25 industrial appeal judge that the medical and vocational testimony does not support a claim for time-
26 loss compensation benefits for the period December 7, 2007, through December 16, 2009. Tyson
27 had several jobs available within Mr. Cobian's physical restrictions. And, there are other jobs such
28 as truck driving identified by vocational counselor Maurilio Garza, which Mr. Cobian could likely
29 obtain.

30 Among the reasons for granting review is our observation that Finding of Fact No. 1 in the
31 Proposed Decision and Order does not show that a timely claim was filed. The finding recites that
32 Mr. Cobian filed an Application for Benefits "on November 16, 2007, alleging he sustained an

1 industrial injury on July 10, 2006." This finding, if accepted, would reflect an untimely claim for
2 industrial injury because the November 16, 2007 claim filing would follow well over one year after
3 the day upon which the injury allegedly occurred. RCW 51.28.050. We also note that Finding of
4 Fact No. 1 further states that the claim "was allowed and benefits paid" even though we do not see
5 any entry on the stipulated Jurisdictional History or other stipulation to the effect that the
6 Department of Labor and Industries ever issued an order explicitly allowing Mr. Cobian's claim.
7 Neither do we find any order explicitly allowing the claim upon examining the Department's claim
8 file when using our authority to do so to determine matters related to our jurisdiction as outlined in
9 *In re Mildred Holzerland*, BIIA Dec., 15,729 (1965).

10 We nevertheless find that Mr. Cobian's claim is a claim for occupational disease and amend
11 Finding of Fact No. 1 accordingly to show that Mr. Cobian's claim was timely filed. Ultimately, the
12 parties, late in the course of hearings, acquiesced in treating this claim as an allowed claim for
13 occupational disease and neither Mr. Cobian nor Tyson Foods, Inc., has objected to our industrial
14 appeals judge treating the claim as an allowed claim for occupational disease. We find no evidence
15 that a physician ever informed Mr. Cobian in writing that he had an occupational disease or that he
16 could file a claim for such. Thus, Mr. Cobian's claim filing met the timeliness filing requirements of
17 RCW 51.28.055 for occupational disease claims – that is, that the claim is not barred for failure to
18 file within two years following the date of written physician notice.

19 We observe that, consistent with the omission in the Jurisdictional History stipulation,
20 litigation in this appeal continued far too long without explicit attention by our judges and by the
21 representatives to the question of whether the claim was a claim for **industrial injury** or for
22 **occupational disease**. Mr. Cobian and his wife were repeatedly questioned by the attorneys
23 about Mr. Cobian's "injury" and his condition before and after his "injury." The testifying physicians
24 were repeatedly asked about their view of the effects of Mr. Cobian's "industrial injury." And, it was
25 a physician, Dr. Gritzka, who finally volunteered that the condition should likely be viewed as an
26 occupational disease.

27 The Department order before us is an order closing Mr. Cobian's claim and denying
28 self-insured responsibility for certain medical conditions under the claim. We question the wisdom
29 of administratively adjudicating matters in any claim, let alone litigating them before this Board,
30 without a high degree of consciousness of whether a claim is allowed for an occupational disease
31 versus an injury occurring at a specific time. The distinction is critical in determining timeliness of
32 claim filing; insurer responsibility; apportionment of employer account liabilities in State Fund

1 claims; periods for which claims are valued for experience rating and retrospective rating purposes
2 in State Fund claims; applicable schedule of benefits; and monthly wages for calculation of
3 time-loss compensation and pension benefits. In the case before us, as in many other cases, it is
4 necessary to know whether the claim is for occupational disease or industrial injury in order to
5 determine the nature and scope of the claim in order to make findings and conclusions on such
6 matters as to what conditions should be allowed under the claim; whether the covered conditions
7 cause inability to work; and whether the claim should remain open for further treatment of properly
8 covered conditions.

9 In this appeal, we have made the inference that witnesses are testifying about the effects of,
10 and the needs attendant to, the same occupational disease for which the claim was previously filed
11 and adjudicated. We have inferred that the witnesses are not referring to some injury or referring to
12 some period of exposure or referring to some distinctive conditions of employment and effects
13 thereof, **other than** those for which this claim was filed and adjudicated. Drawing these necessary
14 inferences was made difficult because of incomplete characterization of the issues inherent in
15 occupational disease claims and the resulting imprecise questioning of witnesses.

16 The whole of our Industrial Insurance Act contemplates that claims be filed and adjudicated
17 on a claim-by-claim basis. A valid claim for occupational disease is a claim for exposure to
18 distinctive conditions of employment causing a disease to develop. RCW 51.08.140 and *Dennis v.*
19 *Department of Labor & Indus.*, 109 Wn.2d. 467 (1987). In order to effectively and efficiently present
20 and consider testimony concerning the effects of an occupational disease, counsel and our judges
21 should be as clear as possible about the nature and duration of the exposure to distinctive
22 conditions of employment; should articulate any disagreement over the considered exposure and
23 the results of such exposure; and should be clear and precise in questioning witnesses about such
24 matters.

25 We agree with the ultimate determinations intended by our industrial appeals judge.
26 However, in order to be clearer, we add Findings of Fact and Conclusions of Law and adjust others,
27 to make clear that we are not inadvertently considering any other occupational disease than that for
28 which this claim was originally filed and adjudicated by Tyson Foods, Inc., and the Department
29 under Claim No. SA-94346. We also add to the final Conclusion of Law a direction that this claim
30 be held open for proper and necessary medical service, which direction was inadvertently omitted
31 in the Proposed Decision and Order.

1 We have considered the Proposed Decision and Order, the employer's and claimant's
2 Petitions for Review and the Responses filed by the claimant and the employer. Based on a
3 thorough review of the entire record before us, we make the following Findings of Fact and
4 Conclusions of Law.

5 **FINDINGS OF FACT**

- 6 1. The claimant, Moises Cobian, filed an Application for Benefits with the
7 Department of Labor and Industries on November 16, 2007. The claim
8 was considered and adjudicated, and benefits provided, with the
9 understanding that the claim was a claim for occupational disease alleging
10 exposure to distinctive conditions of employment as a clod puller and
11 other jobs since August 1997, in meat processing at Tyson Foods, Inc.,
12 resulting in pain in the upper body. The claim has been treated as an
13 allowed claim for occupational disease although no explicit order
14 addressed allowance as such. No physician ever informed Mr. Cobian in
15 writing that he had an occupational disease and that he could file a claim
16 for benefits.

17 The Department issued an order on December 16, 2009, in which it
18 determined the employer was not responsible for bilateral upper arm and
19 shoulder conditions, and closed the claim with time-loss compensation
20 benefits as paid through December 6, 2007, with no award for permanent
21 partial disability. The claimant protested this order on February 3, 2010,
22 and the Department affirmed the order on February 18, 2010. The
23 claimant filed a Notice of Appeal from this order on April 2, 2010, with the
24 Board of Industrial Insurance Appeals. The Board issued an Order
25 Granting Appeal on April 26, 2010, under Docket No. 10 13290, and
26 agreed to hear the appeal.

- 27 2. In August 1997, the claimant, Moises Cobian, began work for Tyson
28 Foods, Inc. Over the years, Mr. Cobian worked a number of different jobs
29 for the employer, all of which involved a degree of physical exertion and
30 use of the arms at varying heights and through a variety of motions. On
31 July 10, 2006, Mr. Cobian was working the job of pull clod when he
32 experienced significant pain in his arms and shoulders. This job involved
the wearing of approximately 17 pounds of gear, including a metal apron,
metal vest, metal glove, hardhat, and knife sheath. As part of the duties of
the job, in a chilled room, Mr. Cobian would hook a side of suspended
beef, weighing up to 1,200 pounds that was passing by him on a
conveyer. While walking with the beef half, and holding the beef with the
hook, Mr. Cobian would make a variety of cuts into the meat, some of
which were at or above shoulder height. The cuts would be left hanging
on the carcass as he would push it away from him and on down the
conveyer line. When finished with the carcass, Mr. Cobian would walk
back up the line, and begin the process over with another side of beef.
The hanging meat came steadily on the conveyer, and the process was
continuous. The repetitive carrying, pulling, slicing, ripping, and pushing
of heavy sides of beef continuously throughout a workday, continually

1 using the arms and shoulders out-stretched in positions above and below
2 shoulder height constitute distinctive conditions of employment with Tyson
3 Foods, Inc.

- 4 3. As of December 16, 2009, the claimant suffered from bilateral upper arm
5 and shoulder conditions that arose naturally and proximately from the
6 distinctive conditions of his employment with Tyson Foods, Inc. These
7 medical conditions and distinctive employment conditions were part of,
8 and coincident with, the same medical conditions and alleged causative
9 exposure to distinctive conditions of employment for which Claim No.
10 SA-94346 was originally filed and adjudicated by Tyson Foods, Inc., and
11 the Department of Labor and Industries.
- 12 4. As of December 16, 2009, the claimant's bilateral upper arm and shoulder
13 conditions were not medically fixed and stable, and were in need of further
14 diagnostic evaluation and treatment.
- 15 5. During the period from December 7, 2007, through December 16, 2009,
16 inclusive, the claimant's bilateral upper arm and shoulder conditions,
17 proximately caused by the distinctive conditions of his employment with
18 Tyson Foods, Inc., did not preclude him from performing reasonably
19 continuous, gainful employment when considered in conjunction with the
20 physical restrictions placed on him by medical providers.

21 **CONCLUSIONS OF LAW**

- 22 1. The Board of Industrial Insurance Appeals has jurisdiction over the parties
23 to and the subject matter of this appeal.
- 24 2. Claim No. SA-94346 is a claim for occupational disease within the
25 meaning of RCW 51.08.140, and has been constructively allowed and
26 previously adjudicated as such.
- 27 3. The claimant's bilateral upper arm and shoulder conditions, proximately
28 caused by the distinctive conditions of his employment with Tyson Foods,
29 Inc., is an occupational disease within the meaning of
30 RCW 51.08.140, and part of, and properly covered under, Claim
31 No. SA-94346.
- 32 4. During the period from December 7, 2007, through December 16, 2009,
inclusive, the claimant was not a temporarily, totally disabled worker within
the meaning of RCW 51.32.090, and, therefore, not entitled to time-loss
compensation benefits for this period.

1 5. The order of the Department of Labor and Industries, dated February 18,
2 2010 is incorrect, and is reversed. This claim is remanded to the
3 Department with instructions to issue an order allowing the claimant's
4 bilateral upper arm and shoulder conditions under the claim, denying
5 time-loss compensation benefits during the period December 7, 2007,
6 through February 18, 2010, and holding the claim open for further proper
and necessary diagnostic service and medical treatment and further action
as may be indicated by the law and the facts.

7 DATED: June 28, 2011.

8 BOARD OF INDUSTRIAL INSURANCE APPEALS

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10
11 /s/ _____
12 DAVID E. THREEEDY Chairperson

13
14 /s/ _____
15 FRANK E. FENNERTY, JR. Member

16
17 /s/ _____
18 LARRY DITTMAN Member