

## **Bell, Michael**

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### **AGGRAVATION (RCW 51.32.160)**

**Discretionary reopening by Director**

**Over seven years after initial closure (RCW 51.32.160)**

### **STANDARD OF REVIEW**

#### **Aggravation**

When an application to reopen is filed more than seven years after the first closing order became final, the reopening of the claim for aggravation is not at the discretion of the director. Only the decision to award time-loss compensation or other disability benefits are committed to the director's discretion. ...*In re Michael Bell*, BIIA Dec., 11 15598 (2012)

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**BEFORE THE BOARD OF INDUSTRIAL INSURANCE APPEALS  
STATE OF WASHINGTON**

1 <b>IN RE: MICHAEL J. BELL</b>	)	DOCKET NO. 11 15598
2 <b>CLAIM NO. T-840446</b>	)	<b>DECISION AND ORDER</b>

3 APPEARANCES:

4  
5 Claimant, Michael J. Bell, Pro Se  
6  
7 Self-Insured Employer, Lanoga Corporation, by  
8 Law Office of Gress & Clark, LLC, per  
9 James L. Gress

10 Department of Labor and Industries, by  
11 The Office of the Attorney General, per  
12 Dilek F. Aral-Still, Assistant

13 The self-insured employer, Lanoga Corporation, filed a protest with the Department of Labor  
14 and Industries on February 22, 2011, from an order of the Department dated December 23, 2010.  
15 In this order, the Department affirmed its May 19, 2010 order in which it reopened the claim  
16 effective January 25, 2010, for medical treatment only. On May 20, 2011, the Department  
17 forwarded the protest to the Board of Industrial Insurance Appeals as a direct appeal. The  
18 Department order is **REVERSED AND REMANDED**.

**DECISION**

19 As provided by RCW 51.52.104 and RCW 51.52.106, this matter is before the Board for  
20 review and decision. The self-insured employer filed a timely Petition for Review of a Proposed  
21 Decision and Order issued on March 23, 2012, in which the industrial appeals judge affirmed the  
22 Department order dated December 23, 2010.

23 The Board has reviewed the evidentiary rulings in the record of proceedings and finds that  
24 no prejudicial error was committed. The rulings are affirmed.

25 We have granted review in this appeal because we disagree with the decision of our  
26 industrial appeals judge to direct reopening of the claim. The record before us does not establish  
27 that worsening of the claimant's industrially-related conditions between the dates of April 15, 2004,  
28 and December 23, 2010, was proximately caused by his industrial injury. We also wish to clarify  
29 the process and the legal standard in an over-seven-year claim reopening, such as this one.

30 The claimant, Michael Bell, was 23 years old on August 7, 1996, when he was injured while  
31 lifting one end of a 32-foot, 6- by 12-inch wooden beam while working for Lumbermen's lumber  
32

1 yard, owned by Lanoga Corporation. He felt "incredible shooting pain," and his back stiffened up.  
2 He filed a workers compensation claim through his chiropractor and had physical therapy. The  
3 claim was closed three months later by a self-insured order in November 1996. It was reopened in  
4 September 1997. Dr. Richard Wohns performed an L5-S1 microdiskectomy in November 1997.  
5 The claim closed again in April 1999. Reopening applications were denied in 2001 and most  
6 recently on April 15, 2004, but on December 23, 2010, the Department affirmed an order in which it  
7 granted an application to reopen. The self-insured employer appealed the decision to reopen the  
8 claim. Therefore, the first terminal date was April 15, 2004. The second terminal date was  
9 December 23, 2010, and the date of first closure was November 26, 1996.

10 RCW 51.52.050 and WAC 263-12-115(2)(a) and (c) charge the employer with proceeding  
11 initially with evidence sufficient to establish a prima facie case for the relief sought. *In re Michael*  
12 *Hansen*, BIIA Dec., 95 4568 (1996). Once the employer has presented a prima facie case that the  
13 Department order is incorrect, the burden shifts to the claimant and Department to prove by a  
14 preponderance of the evidence that the Department order on appeal is correct. *Olympia Brewing*  
15 *Co. v. Department of Labor & Indust.*, 34 Wn.2d 498 (1949), *overruled on other grounds*, *Windust v.*  
16 *Department of Labor & Indus.*, 52 Wn.2d 33 (1958); *In re Christine Guttromson*, BIIA Dec., 55,804  
17 (1981).

18 Here, the employer-appellant established its prima facie case with the testimony of Lewis  
19 Almarez, M.D., neurologist, and Richard G. McCollum, M.D., orthopedic surgeon. Both doctors  
20 agreed that Mr. Bell had decreased disk height during the aggravation period at L5-S1 as shown on  
21 x-rays, but they said that this was due to degenerative disk disease, not the industrial injury. They  
22 found that there was no objective worsening due to the industrial injury.

23 The Department presented the claimant's testimony, and that of Robert B. Kaler, M.D.,  
24 Mr. Bell's current treating physician. Dr. Kaler first saw Mr. Bell on June 10, 2010, about six months  
25 before the second terminal date. Dr. Kaler had no records prior to June 2010; he did not know the  
26 details of the industrial injury itself; and he knew little about Mr. Bell's condition in 2004. Dr. Kaler  
27 agreed with Drs. Almarez and McCollum's diagnosis of degenerative disk disease. But he was  
28 quite vague about his conclusion that there had been objective worsening between 2004 and 2010,  
29 and he never said that any worsening was due to the industrial injury.

30 It must be shown that worsening of the condition was proximally related to the industrial  
31 injury for a claim to be reopened. *In re Arlen Long*, BIIA Dec., 94 2539 (1996); *Phillips v.*  
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1 *Department. of Labor & Indus.*, 49 Wn.2d 195 (1956). Therefore, we cannot agree that the  
2 evidence herein established a case for reopening.

3 We have also granted review to clarify the legal standard in analyzing an over-seven-year  
4 reopening. Our industrial appeals judge continuously indicated that the Department's decision to  
5 reopen the claim was discretionary in nature because the application was received more than  
6 seven years after the first closing order had become final. This is not correct. It is not the  
7 reopening that is discretionary. In an over-seven case, whether to award time-loss compensation  
8 or other disability benefits from the accident fund are committed to the Director's discretion. In an  
9 over-seven case, the claimant receives only medical treatment unless the Department Director, in  
10 her discretion, allows full benefits. Regardless of whether the reopening was more or less than  
11 seven years after the first closing order became final, it must still be shown by objective medical  
12 evidence that the claimant's condition worsened as a proximate cause of the industrial injury  
13 between the first and second terminal dates. RCW 51.32.160(1)(a).

14 The Department order on appeal in which the Department reopened the claim should be  
15 reversed, and the claim remanded to the Department to issue an order in which it denies the  
16 reopening application.

17 **FINDINGS OF FACT**

- 18 1. On June 30, 2011, an industrial appeals judge certified that the parties  
19 agreed to include the Jurisdictional History, as amended, in the Board  
20 record solely for jurisdictional purposes.
- 21 2. On August 7, 1996, while in the course of employment with Lanoga  
22 Corporation, the claimant, Michael J. Bell, suffered an industrial injury  
23 when he was lifting a heavy beam to put onto a truck and he felt pain in  
24 his low back. He sustained a herniated disk at L5-S1 as a result of that  
25 injury.
- 26 3. On April 15, 2004, the claimant had objective findings in his low back  
27 that were proximately caused by his industrial injury including  
28 radiological findings indicative of his L5-S1 microdiscectomy and  
29 degenerative disk disease, loss of left ankle reflex, diminished left leg  
30 sensation, and positive straight leg raising. He had a permanent partial  
31 disability proximately caused by his industrial injury equal to Category 3  
32 of WAC 296-20-280 for permanent dorso-lumbar/lumbosacral  
impairments.
4. On December 23, 2010, Mr. Bell had no objective findings of worsening  
proximately caused by the industrial injury.

1 5. Between April 15, 2004, and December 23, 2010, Mr. Bell's conditions  
2 proximately caused by the industrial injury did not objectively worsen.

3 **CONCLUSIONS OF LAW**

- 4 1. Based on the record, the Board of Industrial Insurance Appeals has  
jurisdiction over the parties and subject matter of this appeal.
- 5 2. Between April 15, 2004, and December 23, 2010, Mr. Bell's conditions  
6 proximately caused by the industrial injury of August 7, 1996, did not  
7 objectively worsen within the meaning of RCW 51.32.160.
- 8 3. The Department order dated December 23, 2010, is incorrect and is  
reversed. This matter is remanded to the Department of Labor and  
9 Industries to deny the application to reopen the claim.

10 DATED: June 11, 2012.

BOARD OF INDUSTRIAL INSURANCE APPEALS

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12  
13 /s/ \_\_\_\_\_  
14 DAVID E. THREEEDY Chairperson

15  
16 /s/ \_\_\_\_\_  
17 JACK S. ENG Member